

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
53116/1192

In Re Application:

Curtiss III et al

Serial No.	Filing Date	Examiner	Group Art Unit
09/686,499	10/11/2000	Khotol S. Shahnan Shah	1645

MAY 12 2003  
U.S. PATENT & TRADEMARK OFFICE

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Kimberly H. Lu  
Signature

Kimberly H. Lu, Reg. No. 51973  
Thompson Coburn LLP  
One US Bank Plaza  
St. Louis, Missouri 63101-9928  
314-552-6307  
314-552-7307 FAX

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Dated: May 12, 2003

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02 FC:1806 P10C/REV02

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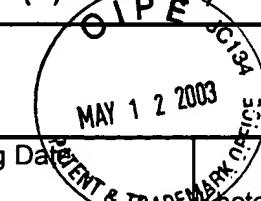
In Re Application Of:

Curtiss III et al

Serial No.	Filing Date	Examiner	Group Art Unit
09/686,499	10/11/2000	Khotal S. Shahnan Shah	1645

Title:

Functional Balanced-Lethal Host-Vector Systems



Address to:  
 Assistant Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

## 37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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